

# The Neuroendocrine and Metabolic Outcomes of Bariatric Surgery Depend on Presurgical Control over Eating

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## Keywords

Eating control · Gastric bypass · Insulin resistance · Brain-derived neurotrophic factor · Food craving

## Abstract

**Background:** The outcomes of bariatric surgery are very irregular and mostly unpredictable. The search for variables of predictive value is encouraged to help preventing therapeutic failures. **Objective:** We aimed to confirm the hypothesis that preexisting eating behaviors could predict neuroendocrine and metabolic outcomes of gastric bypass surgery in morbidly obese subjects. **Methods:** Twenty-one morbidly obese patients from the Bariatric Surgery Program of our hospital were selected according to the specific inclusion and exclusion criteria for this study. The subjects filled out a validated questionnaire to quantify the “loss-of-control” (LC) dimension of food craving and provided serum samples at the onset of the study and 1 year after gastric bypass surgery. Hematological, metabolic, and hormonal variables were studied by conventional clinical tests and enzyme immunoassays and checked for correlations with LC both before and

after surgery. **Results:** Those patients that had exhibited worse eating control at the beginning of the study experienced a better metabolic response 1 year after surgery in terms of reduction of serum insulin, HOMA1-IR, HOMA2-IR, and vitamin D<sub>1</sub>; all these variables were inversely correlated with presurgical LC. Serum brain-derived neurotrophic factor (BDNF) levels showed the same tendency; in fact, BDNF significantly decreased only in those patients with worse eating control. **Conclusions:** Problematic eating behaviors may predict a better response of insulin resistance and a specific reduction of serum BDNF in morbidly obese patients after gastric bypass surgery.

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## Introduction

The outcomes of bariatric surgery are very diverse between patients and presently unpredictable; accordingly, the search for various kinds of variables of predictive value is encouraged to help preventing therapeutic failures [1]. It is generally accepted that patients with obesity and

problematic eating behaviors, including dysregulated overeating, binge eating disorder, and “food addiction” [2], have dysregulated brain reward pathways [3] and/or disrupted cognitive processing of food cues [4], leading to a worse response to surgery when compared to other patients. Hence, established eating disorders such as binge eating disorder or bulimia nervosa are among the exclusion criteria for bariatric surgery in many hospitals, including ours. “Food-addicted” patients, as evaluated with the Yale Food Addiction Scale (YFAS), have been reported to experience lower rates of weight loss after surgery in some studies [5, 6] and, importantly, are supposed to be at increased risk of “addiction transfer” from compulsive food consumption to substance misuse [7]. The latter is an important issue, since the proportion of new-onset substance users among bariatric patients after surgery has been estimated to range from 34.3 to 89.5% [8]. However, the evidence supporting these assumptions is far from conclusive. Thus, Ivezaj et al. [9] reviewed the literature to conclude that the presence of presurgical food addiction was not associated with postsurgical weight loss.

One of the possible sources of discrepancies between studies is the multidimensional nature of the food addiction construct and the YFAS; thus, if the predictive effect of problematic eating behaviors on surgery outcomes resides on a particular component of the scale, it could be masked by others to a different extent depending on the sample considered. Interestingly, one of the studies that found food addiction to be inversely related to weight loss after surgery simultaneously reported that binge eating tended to predict greater loss of excess weight in the same patients [6]. Similarly, when food craving questionnaires were used for this kind of studies, higher scores on the subscale “cues that may trigger food cravings” were associated with greater weight loss at short term after surgery, while higher scores on the subscale “guilt from cravings and/or giving into them” were associated with less weight loss [10].

That is why we had focused on a single factor such as “loss of control over eating” to phenotype our subjects in a previous study on eating behaviors in obesity [11]. Loss of control over eating can be defined as the subjective experience of being unable to control what or how much one eats, regardless of the amount of food consumed. It is a key factor of food craving in morbid obesity [12] that markedly resembles loss of control of drug use among drug addicts.

The present work is then aimed at establishing whether the metabolic and endocrinological status of obese pa-

**Table 1.** Main characteristics of the patients included in the study

Subjects (women:men), <i>n</i>	14:7
Mean age ± SEM, years	43.7±2.7
Mean BMI ± SEM, kg/m <sup>2</sup>	47.1±1.2
History of psychiatric disorders, %	28.6
DM-2, %	23.8
Hypertension, %	38.1
Heart failure, %	9.5
Dyslipidemia, %	4.8
Hypothyroidism, %	9.5
Reflux esophagitis, %	14.3
Sleep apnea, %	23.8
DDD/arthritis, %	23.8

BMI, body mass index; DM-2, diabetes mellitus type 2; DDD, degenerative disc disease.

tients is dependent on their control over eating, as well as the possible predictive value of loss of control with regard to the effects of gastric bypass surgery 1 year after the surgery. This time point is relevant for the early detection of biological changes that could translate into postoperative clinical problems later on, as we have suggested recently [11]. For this study, we collected diverse biochemical indicators and quantified serum neuropeptides and hormones widely known to regulate body weight in humans [13]; among the latter, we considered brain-derived neurotrophic factor (BDNF), a substance of marked interest since it has been specifically related to eating disturbances [14] and has recently been reported to decrease in the plasma of morbidly obese patients after surgery [15].

## Subjects and Methods

### Subjects

The patients of this study (*n* = 21) were selected from the Bariatric Surgery Program of the General University Hospital of Ciudad Real (Hospital General Universitario de Ciudad Real, HGUCR), Spain. All of them fit the requirements of the International Federation for the Surgery of Obesity and Metabolic Disorders (IFSO) to be considered for gastric bypass surgery.

The inclusion criteria were 5 years of maintained obesity, a body mass index (BMI) >40 kg/m<sup>2</sup>, an age of 18–60 years, and psychological stability (at least 3 months free of anxiety, depression, impulse control disturbances, and other psychiatric symptomatology). The exclusion criteria were obesity secondary to endocrinopathies or drug treatments, a BMI >60 kg/m<sup>2</sup> or a BMI >55 kg/m<sup>2</sup> and 1 or more significant comorbid conditions, antecedents of major psychiatric disease (psychosis, schizophrenia, bipolar disorder, and depression with a Beck Depression Inventory score >21), mental retardation, eating disorders (binge eating disorder, bulimia nervosa), and alcohol/drug abuse.

**Table 2.** Items of the FCQ-T used to quantify “loss of eating control” (LC)

1. When I crave something, I know I won't be able to stop eating once I start
2. If I eat what I am craving, I often lose control and eat too much
3. If I get what I am craving I cannot stop myself from eating it
4. I have no will power to resist my food crave
5. Once I start eating, I have trouble stopping
6. If I give in to a food craving, all control is lost

The main characteristics and comorbidities of the patients are depicted in Table 1. Three subjects were medicated with oral anti-diabetic drugs, 2 were on diuretics, 5 received other antihypertensives, 6 were on psychopharmaceuticals, and 4 received other, unspecified medications. The psychopharmaceuticals prescribed were selective serotonin reuptake inhibitors (SSRIs; fluoxetine at 20 mg/day or sertraline at 50–100 mg/day) as part of maintenance treatment for a previous symptomatology of anxiety/depression.

Prior to surgery, the participants provided a blood sample for biological analysis and completed a copy of the Spanish version of the State and Trait Food Cravings Questionnaire, trait scale (FCQ-T). As in previous studies [11], “loss of control” (LC) was captured from 6 selected questions of the FCQ-T scored from 1 (“never”) to 6 (“always”) and summed to calculate a final LC score (Table 2). The patients were then scheduled for gastric bypass surgery, and 1 year after the intervention, another blood sample was obtained to study the evolution of the hematological, biochemical, and endocrinological variables.

#### *Hematology and Biochemistry*

Collection and preparation of the biological samples, blood count, and most serum biochemical determinations in presurgical and postsurgical samples were performed according to conventional procedures routinely used in the Laboratory of Clinical Analysis of our hospital. Some aliquots of serum samples were sent to the Translational Research Unit to study hormones and neuropeptides with enzyme immunoassays (EIAs) or enzyme-linked immunosorbent assays (ELISAs) according to the manufacturer's protocols. The following kits were used: EZHADP-61K Human Adiponectin ELISA kit (Millipore, Billerica, MA, USA); CYT306 ChemiKine Brain Derived Neurotrophic Factor (BDNF) ELISA kit (Millipore); Human Cocaine- and Amphetamine-Regulated Transcript peptide (CART) EIA kit (RayBiotech, Norcross, GA, USA); EZGRT-89K Human Ghrelin ELISA kit (Millipore); EZHI-14K Human Insulin ELISA kit (Millipore); and EZHL-80SK Human Leptin “Dual Range” ELISA kit (Millipore).

#### *Statistical Analysis*

Statistical analyses were performed using SPSS software (version 19.0 for Windows; IBM, Armonk, NY, USA) and graphics with R Studio 1.0.143 (R Statistics, Vienna, Austria). Correlation analyses (Spearman's rho) were carried out to study possible associations of the different hormonal and biochemical variables with loss of control over eating, as quantified with the LC score.

Previously, a multiple linear regression analysis was applied to check the possibility that age, gender, and history of psychiatric disturbances/SSRI treatment could represent confounding variables that influenced the LC scores. Medications other than SSRIs were not included in this analysis, since they were prescribed to a too low number of patients to have influenced the results or they

were not expected to produce significant changes in hormonal measurements bearing in mind their pharmacological profiles.

After correlation analysis, any significant positive correlation with LC score indicated that the variable considered was directly associated with loss of control since both variables showed a common increase, while a significant negative correlation indicated that the variable considered was inversely related to loss of control. Only those parameters that were significantly correlated with LC were further analyzed by two-way ANOVA (and Bonferroni post hoc test), with treatment status and eating control as independent variables; to achieve this, the patients were divided into two groups according to the median LC score (better control:  $LC < 15$ ; worse control:  $LC \geq 15$ ) and two treatment statuses were considered: before surgery and after surgery. Statistical significance was always considered if  $p < 0.05$  with a 95% confidence interval.

## **Results**

The multiple linear regression analysis showed that age, gender, and history of psychiatric disturbances/SSRI treatment only represented 22% of the variation in LC score ( $R^2 = 0.22$ ), which was nonsignificant ( $p = 0.226$ ). Other than with iron level, LC score was not correlated with any other variable studied in the patients before surgery (Table 3). Gastric bypass surgery led to a marked reduction in BMI (from  $47.1 \pm 1.2$  to  $30.6 \pm 1.1$   $\text{kg/m}^2$ ,  $p < 0.05$ ); this reduction represents a total body weight loss of  $35.0 \pm 1.8\%$  and an excess weight loss of  $76.2 \pm 4.0\%$ . No new cases of negative eating behavior or addiction transfer were detected. A negative correlation was seen between preexisting LC score and postsurgical insulin recovery, HOMA1-IR, HOMA2-IR, vitamin D<sub>1</sub>, and BDNF values (Table 3).

Figure 1 puts together the pre- and postsurgical values of the latter variables for the patients with better and those with worse eating control as defined on the basis of the median LC score before surgery (see Subjects and Methods). As can be seen, both subgroups exhibited similar values at baseline, but the response to surgery (as expected from the analysis of correlations) was more marked among the patients with worse eating control concerning carbohydrate metabolism biomarkers; this applied to insulin levels (85% reduction in these patients vs. 64% in the

**Table 3.** Biological variables studied in the patients before and after gastric bypass surgery and their correlations with loss of control (LC) scores

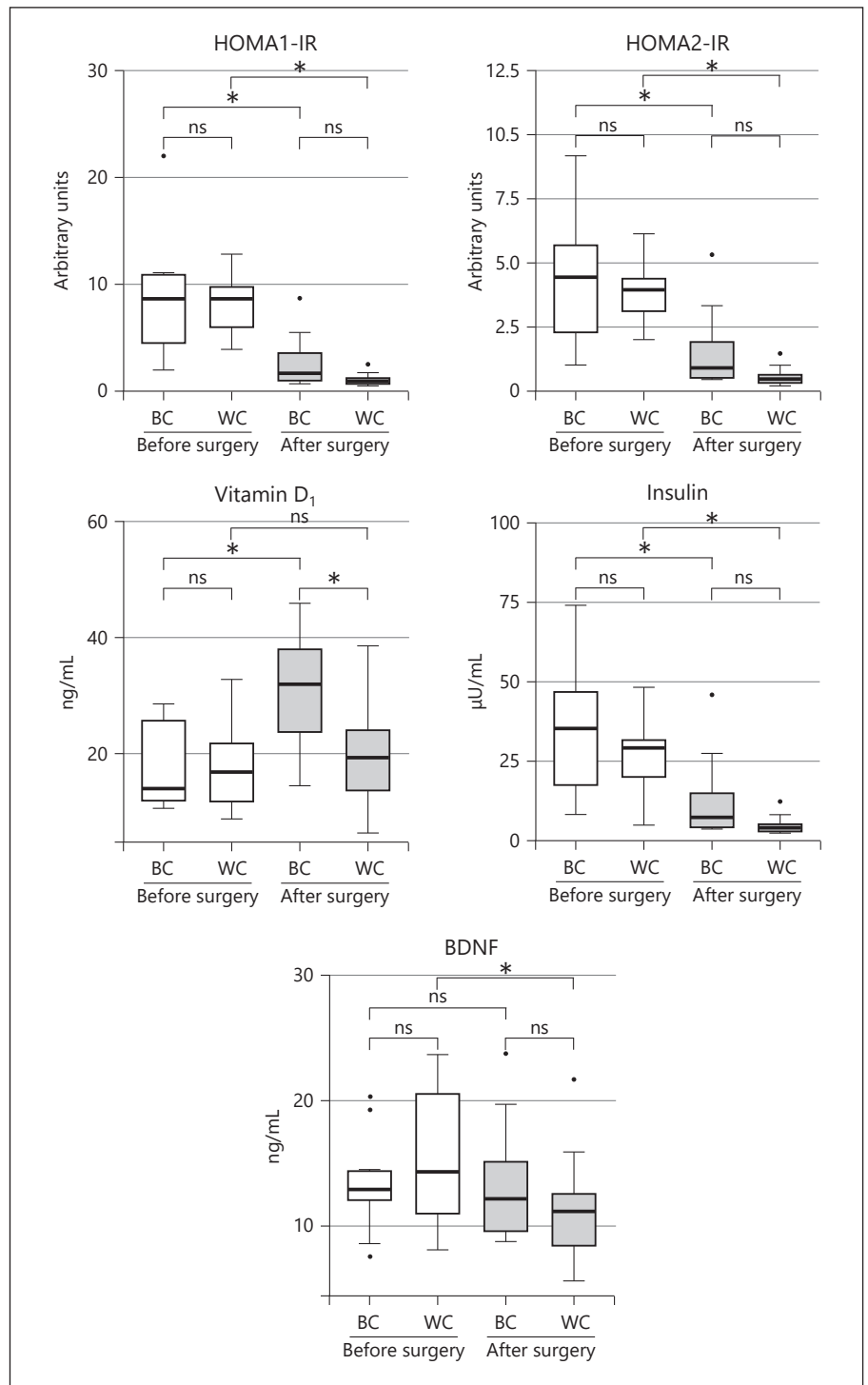
Variable	Unit	Before surgery		After surgery	
		mean ± SEM	LC correlation	mean ± SEM	LC correlation
BMI	kg/m <sup>2</sup>	47.24±1.22	0.118	<b>30.57±1.13***</b>	0.315
Leukocytes	(cells/L) × 10 <sup>9</sup>	7.81±0.41	-0.284	<b>6.55±0.3*</b>	-0.018
Hemoglobin	g/dL	14.1±0.26	-0.086	13.71±0.41	0.040
Creatinine	mg/dL	0.79±0.04	0.206	<b>0.68±0.03**</b>	0.078
Total proteins	g/dL	7.28±0.09	-0.231	<b>7.04±0.09**</b>	-0.059
GGT	IU/L	42.48±9.97	0.025	<b>19.43±3.39***</b>	0.162
AST	IU/L	23.86±1.66	-0.138	21.95±1.69	0.030
ALT	IU/L	29.76±2.5	0.148	<b>23.86±2.95*</b>	0.113
CRP	mg/dL	1.35±0.35	-0.097	<b>0.37±0.16**</b>	-0.021
Total cholesterol	mg/dL	187.81±6.36	0.240	<b>174.62±7.16*</b>	-0.182
HDL	mg/dL	42.05±1.79	0.108	<b>55.29±3.18***</b>	-0.089
LDL	mg/dL	118.1±6.15	0.314	<b>98.24±5.89**</b>	0.037
Triglycerides	mg/dL	135.75±11	-0.098	<b>90.19±7.11***</b>	0.026
Glucose	mg/dL	110.42±3.04	0.172	<b>89.33±2.01***</b>	-0.139
HOMA1-β	-	258.87±36.91	-0.397	<b>151.11±56.91**</b>	-0.226
HOMA1-IR	-	8.56±1.18	-0.303	<b>1.78±0.43**</b>	<b>-0.521<sup>#</sup></b>
HOMA2-β	-	172.47±17.98	-0.422	<b>100.11±19.94**</b>	-0.252
HOMA2-IR	-	4.05±0.5	-0.386	<b>1.05±0.26**</b>	<b>-0.474<sup>#</sup></b>
Uric acid	mg/dL	6.11±0.32	0.143	<b>4.52±0.19***</b>	0.081
Vitamin D <sub>1</sub>	ng/mL	18.1±1.76	-0.119	<b>25.13±2.22*</b>	<b>-0.434<sup>#</sup></b>
Intact PTH	pg/mL	70±6.61	0.184	55.83±5.59	0.216
Phosphorus	mg/dL	2.89±0.1	-0.020	<b>3.56±0.11***</b>	-0.139
Calcium	mg/dL	9.39±0.08	0.021	9.49±0.09	-0.216
Magnesium	mg/dL	2.02±0.04	0.313	2.06±0.03	-0.118
Iron	μg/dL	67.38±4.28	<b>0.472<sup>#</sup></b>	<b>88.05±7.91**</b>	0.303
Ferritin	ng/mL	98.99±16.74	0.027	112.13±21.8	0.138
Vitamin B <sub>12</sub>	pg/mL	410.56±38.02	0.003	336.62±27.21	0.290
Cortisol	nmol/dL	301.3±19.04	0.110	311.9±27.67	0.412
Adiponectin	ng/mL	24.37±4.52	0.079	<b>49.03±6.12**</b>	0.014
Ghrelin	pg/mL	189.68±25.3	-0.021	<b>338.37±55.86*</b>	-0.236
Leptin	ng/mL	43.15±3.31	0.221	<b>11.42±2.32***</b>	-0.069
Insulin	μU/mL	29.91±4.19	-0.247	<b>8.4±2.25**</b>	<b>-0.470<sup>#</sup></b>
BDNF	ng/mL	14.39±1.13	0.083	12.23±1.04	<b>-0.465<sup>#</sup></b>
CART	ng/mL	4.25±0.58	0.152	3.16±0.29	-0.202

Values were calculated from at least 17 determinations. LC, loss of control over eating; BMI, body mass index; GGT, γ-glutamyltransferase; AST, aspartate aminotransferase; ALT, alanine aminotransferase; CRP, C-reactive protein; HDL, high-density lipoprotein; LDL, low-density lipoprotein; HOMA, homeostatic model assessment; IR, insulin resistance; PTH, parathyroid hormone; BDNF, brain-derived neurotrophic factor; CART, cocaine- and amphetamine-regulated transcript peptide. \* Significant differences (bold type) with respect to presurgery values (\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ ). <sup>#</sup> Significant correlations (bold type) with LC score (<sup>#</sup>  $p < 0.05$ ).

subgroup with better control), HOMA1-IR (90 vs. 71%), and HOMA2-IR (87 vs. 65%). The BDNF levels only varied in a significant manner (25% reduction) in the patients with worse eating control, while a very marked increase in vitamin D<sub>1</sub> level was only noted in the patients with better eating control.

## Discussion and Conclusion

The results obtained in this study show that loss of control over eating does not influence the metabolic status of morbidly obese patients to a great extent, but it predicts a differential response to surgery. It seems that those



**Fig. 1.** Pre- and postsurgical values of variables showing a significant correlation with loss of eating control (LC score) in patients with better food control (BC; LC score <15) or worse food control (WC; LC score ≥15). Outliers are represented by dots. \*  $p < 0.05$ .

patients with worse control over eating (that is, those with higher LC scores) had improved their carbohydrate metabolism better 1 year after surgery (that is, they exhibited lower postsurgical insulin, HOMA1-IR, and HOMA2-IR values). These short-term differences must be reevaluated

over longer periods of follow-up of gastric bypass surgery; however, they fit well with the hypothesis that the etiology of obesity could be more closely related to inadequate eating behaviors in those patients with better metabolic responses to therapeutic approaches partially based on



limiting overeating (i.e., gastric bypass). Conversely, patients affected more by primary metabolic dysregulation than by problematic eating behaviors would benefit from restrictive surgery to a lesser extent, at least concerning metabolism. The opposite will be true concerning behavior: those patients with problematic eating behaviors will be at higher risk of psychological problems after surgery (i.e., “addiction transfer”), since comfort eating is no longer possible to the same extent as before the intervention [16].

The results obtained for BDNF are also compatible with the commented hypothesis and could also explain some apparently contradictory data found in the literature. Despite some findings of BDNF alterations in the serum of human obese patients, a direct relationship between obesity and BDNF levels tends to be discarded [17]. However, we have recently reported a significant BDNF decrease 1 year after gastric bypass surgery in morbidly obese patients [15]. None of the former studies considered the possibility that a differential eating behavior among obese individuals could be influencing the results. In fact, BDNF plasma levels have repeatedly been shown to be altered in eating control disorders, and the *BDNF* gene itself is now considered one of the obesity-related genes more closely associated with the etiology of eating disorders [14]. Bearing in mind these preceding results, our present finding that postsurgical BDNF levels are inversely correlated with preexisting loss of control over eating strongly suggests that BDNF reduction could be detecting a short-term correction of problematic eating behaviors in our patients; however, the exact clinical meaning of these results needs to be specifically addressed. Interestingly, BDNF is widely known to play a key role in the neurobiology of drug craving [18], which further supports the importance of this neuropeptide as a putative biomarker of different kinds of addiction. As in the case of BDNF, the heterogeneity of obesity may underlie many apparent discrepancies found in the literature when trying to establish possible relationships between different variables and BMI values. Thus, for instance, the densities of striatal dopamine transporters and D<sub>2</sub> receptors have been found to be negatively correlated with BMI in some studies [19, 20] but not in others [21, 22]. These discrepancies could be explained by study differences in the proportion of obese subjects with inadequate eating behaviors, who are expected to exhibit altered dopaminergic transmission in brain reward areas similar to those exhibited by drug addicts according to the reward deficiency syndrome theory [23].

Our analysis revealed some other correlations which were much more difficult to interpret than those previously commented on. This was the case with the correlation between LC score and presurgical iron level, as well as with the negative correlation between LC score and postsurgical vitamin D<sub>1</sub> level. On the basis of the data and the evidence presently available from the literature, any attempt to explain these associations could be highly speculative.

The main limitation of the present work is the low sample size. However, the results obtained are robust enough to strongly recommend extended work in the same direction, preferably focused on the evolution of carbohydrate metabolism and BDNF levels.

In conclusion, this study tends to show that morbidly obese patients may have different responses to bariatric surgery depending on preexisting eating control, the main differences applying to insulin resistance and serum BDNF levels. Further studies with higher numbers of patients and longer periods of follow-up are needed to confirm these findings and translate them into useful applications for health professionals.

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### Statement of Ethics

The subjects gave their written informed consent. The study was approved by the Ethics Committee for Clinical Research (Comité Ético de Investigación Clínica, CEIC) of HGUCR, Spain. All procedures were in accordance with the ethical standards of the institutional and national research committees, as well as with the 1964 Helsinki Declaration and its later amendments.

### Disclosure Statement

The authors have no conflicts of interest to declare.

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## Author Contributions

J.R.M.-R.: acquisition, analysis and interpretation of data, revision of the draft article; T.R.-C., F.P., and J.M.-F.: study design, revision of the draft article; L.S.-M., A.A., and E. Segura: acquisition

of data, revision of the draft article; G.C. and L.B.-F.: analysis of data, revision of the draft article; E. Salas and C.G.-M.: study design, acquisition of data, revision of the draft article; L.F.A.: study design, analysis and interpretation of data, drafting the manuscript.

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