



## Neuroprotective potential of Ginkgo biloba in retinal diseases

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## Neuroprotective potential of *Ginkgo biloba* in retinal diseases

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## Abstract

Like other tissues of the central nervous system, the retina is susceptible to damage by oxidative processes that result in several neurodegenerative disease such as age-related macular degeneration, diabetic retinopathy, glaucoma, ischaemic retinal disease, retinal disease produced by light oxidation and detached retina, among other diseases. The use of antioxidant substances is a solution to some health problems caused by oxidative stress, because regulate redox homeostasis and reduce oxidative stress. This is important for the neurodegeneration linked to oxidation processes. In line with this, *Ginkgo biloba* is a medicinal plant with excellent antioxidant properties whose effects have been demonstrated in several degenerative processes, including retinal diseases associated with neurodegeneration. This review describes the current literature on the role of ginkgo in retinal diseases associated with neurodegeneration. The background leads to the conclusion that *G. biloba* extracts might be a good option to improve certain neurodegenerative retinal diseases but more research is needed to determine the safety and efficacy of *G. biloba* in these retinal degenerative processes.

**Key words:** *Ginkgo biloba*, Ginkgoaceae, neurodegeneration, retinal diseases, age-related macular degeneration, diabetic retinopathy, glaucoma, ischaemic retinal disease

## Abbreviations

ARMD: age-related macular degeneration

BD: Behcet's disease

CNV: choroidal neovascularisation

DR: diabetic retinopathy

GBE: *Ginkgo biloba* extracts

NTG: normal-tension glaucoma

IOP: intraocular pressure

NO: nitric oxide

NOX: NADPH oxidase

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POAG: primary open-angle glaucoma

PUFAs: polyunsaturated fatty acids

RCT: randomised controlled trials

RD: retinal detachment

ROS: reactive oxygen species

RP: retinitis pigmentosa

RPE: retinal pigment epithelium

TM: trabecular meshwork

VEGF: vascular endothelial growth factors

For Peer Review

## Introduction

The degeneration of retinal ganglion cells is involved in several optic neuropathies. These cells are neuronal retinal cells that project their axons to the brain by forming the optic nerve [1]. The long axons of these cells make them more vulnerable to hypoxia, exposure to free radicals, mechanical compression or photo-oxidative damage [2]. Some retinal diseases are directly related to oxidative stress, especially in aging eyes [3]. Like other neuronal cells, retinal ganglion cells have poor antioxidant capacities and insufficient nucleic acid repair mechanisms (mainly in mitochondria) [4]. Reactive oxygen species (ROS) are involved in the progression of retina disorders, including aging, apoptosis and post-ischaemic cellular injuries [5]. Glaucoma [6, 7], diabetic retinopathy (DR) [8-10], age-related macular degeneration (ARMD) [11, 12], ischaemic retinal injuries [13] are examples of ROS and retina disease relationship. In addition, excessive ROS generation and the consequent induction of oxidative stress are some factors that trigger the cellular response to retinal detachment (RD), and are also a major cytotoxic factor for photoreceptor apoptosis [14]. Indeed antioxidants protect against oxidative stress, prevent ROS production and they should act as neuroprotectants with therapeutic options for retinal diseases.

*Ginkgo biloba* L. (Ginkgoaceae), commonly known as ginkgo, is a living fossil as it is one of the most ancient living trees that has existed for more than 250 million years. The therapeutic benefits of ginkgo leaf extracts have long since been well-known, proven by the fact that they were used in traditional Chinese medicine 5000 years ago [15]. Dr. Willmar Schwabe introduced ginkgo leaf extract as medication in 1965 [16]. Many types of *G. biloba* extracts (GBE) can be found on the market nowadays, but preclinical and clinical studies have been performed mainly using EGb 761 and LI 1370 [17], especially the former. EGb 761 is one of the best characterised herbal extracts containing two major groups of active principles: flavonoids (24 %) and terpene lactones (6%). Quercetin, kaempferol, iso-rhamnetin, myricetin, laricitrin, mearnsenin and apigenin glycosides have been identified as the main flavonoids, together with biflavonoids like ginkgetin, isoginkgetin [18]. Ginkgolides A, B, C and J (diterpene lactones) and bilobalide (sesquiterpene lactones) are the most important components of the terpene fraction [19]. Another important aspect of this extract is that ginkgolic acids appear in concentrations below 0.0005%. Ginkgolic acids exert allergenic and genotoxic effects, with neurotoxic results. It has been proven that ginkgolic acids-induced death is mediated by both apoptosis and necrosis [20]. In fact due to low concentrations of this type of compounds in EGb 761, toxic effects in humans are not expected. EGb 761 and other ginkgo leaf extracts have been widely used to treat and prevent several disorders like Alzheimer's disease, dementia, multiple sclerosis, asthma, vertigo, fatigue, tinnitus or circulatory problems [21-23]. The neuroprotective effect of this plant has been demonstrated both *in vitro* and *in vivo*. The

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3 terpenoid and flavonoid fractions of GBE protect neurons against necrosis and apoptosis  
4 induced by ROS, Ca<sup>2+</sup> overload, nitric oxide (NO), or  $\beta$ -amyloid induced toxicity [16]. The  
5 antioxidant capacity of the extract can be explained by its ability to act as a free radical  
6 scavenger of nearly all ROS types, and can inhibit lipid peroxidation. Furthermore, antioxidant  
7 properties of ginkgo are particularly interesting given the ability of its active principles, mainly  
8 the flavonoid fraction, to act at the mitochondrial level unlike other antioxidants [17]. GBE  
9 maintains ATP content thanks to mitochondrial respiration protection, and also to oxidative  
10 phosphorylation preservation. The neuroprotective capacity of ginkgo leaves should allow the  
11 treatment and prevention of ocular pathologies such as glaucoma, DR or ARMD [2]. The  
12 vasoregulator effects of ginkgo through the catecholaminergic system and the release of  
13 endothelial factors, and its capacity to increase microcirculation [24], are also interesting points  
14 for this propose [25]. Finally, GBE seem safe and well tolerated as adverse reactions to ginkgo  
15 treatment are rare and mild [26].

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25 This review concentrates on the therapeutic potential of ginkgo to treat retinal diseases  
26 associated with neurodegeneration. In particular, it focuses on such damage caused by oxidative  
27 processes: ARMD, DR, glaucoma, ischaemic retinal disease, retinal disease produced by light  
28 oxidation, RD, among other diseases. The aim of the current review is to integrate information  
29 of the possible ways to protect and the therapeutic role of GBE. The search strategy is  
30 conducted using a systematic and standardized review in curated databases such as PubMed,  
31 MEDLINE and SciFinder in 2000-2019. Papers published in 1985-2000 are used when they are  
32 necessary to explain concepts and processes. The search is based on the main key words:  
33 *Ginkgo biloba*, Ginkgoaceae, neurodegeneration, retinal diseases, age-related macular  
34 degeneration, diabetic retinopathy, glaucoma, ischaemic retinal disease. Other key words are  
35 used for secondary search (oxidative processes, neurodegenerative disease, antioxidant  
36 substances).

### 37 38 39 40 41 42 43 44 45 46 47 48 **Age-Related Macular Degeneration**

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50 ARMD is a multifactorial neurodegenerative ocular disease characterised by progressive macula  
51 lesions that result in irreversible central vision loss [27-29]. Currently, it represents the leading  
52 cause of visual impairment and acquired irreversible blindness in people aged over 60 years in  
53 developed countries. ARMD has a high prevalence worldwide. More than 170 million people  
54 suffer from ARMD worldwide and this number is expected to rise because of aging populations.  
55 Thus, the overall prevalence of advanced ARMD is estimated to increase by more 50% by the  
56 year 2020 [29, 31, 32]. ARMD often produces very few symptoms in its early stages, but in  
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3 later stages, it causes loss of the central, straight-ahead vision needed for activities like reading  
4 and driving. According to a classification system defined in the National Eye Institute's Age-  
5 Related Eye Disease Study (AREDS) [29], ARMD can be categorised into three disease  
6 progression stages. People with early ARMD do not normally suffer from vision loss.  
7 Intermediate ARMD may cause some vision loss, but most people will not experience any  
8 symptoms. People with late ARMD suffer vision loss from damaged macula. Late ARMD is  
9 classified into two general subgroups: dry ARMD and wet ARMD. Dry (atrophic, non  
10 exudative) ARMD is characterised by drusen accumulating around the RPE with a gradual  
11 breakdown of not only the light-sensitive cells in the macula that convey visual information to  
12 the brain, but also of the supporting tissue beneath the macula. These changes cause vision loss,  
13 leading to a choroid and retinal atrophy (geographic atrophy). In wet (neovascular or exudative)  
14 ARMD, abnormal blood vessels grow underneath the retina. These vessels can leak fluid and  
15 blood, which may lead to the macula swelling and to damage. Approximately 85-90% of the  
16 macular degeneration cases are the dry type, while the wet type represents 10-15% of the overall  
17 prevalence [29, 33]. Several risk factors for ARMD to develop and progress have been  
18 established, including aging, genetic factors, smoking, nutrition, degree of pigmentation, arterial  
19 hypertension and UV rays [27, 30, 34]. Different studies provide consistent evidence to suggest  
20 a crucial role for retinal pigment epithelial cell damage and death behind the causes of ARMD.  
21 Although the underlying mechanisms of retinal degeneration are not fully understood,  
22 inflammation and oxidative stress responses are involved as central players in photoreceptor  
23 death and vision loss [12, 35, 36]. The abundance of polyunsaturated fatty acids in the retina,  
24 which are selective substrates for peroxidation, along with ROS generation, cause damage to  
25 photoreceptors and RPE via the lipid peroxidation process [37]. Moreover, aging cells are  
26 particularly vulnerable because the natural antioxidant capacity decreases and the overall  
27 efficiency of reparative systems against cell damage become impaired. Several research works  
28 report that excessive *N*-retinylidene-*N*-retinylethanolamine (A2E) accumulation in RPE is  
29 implicated in the pathogenesis of ARMD [38]. There is also compelling evidence to indicate the  
30 association of vascular endothelial growth factors (VEGF) to choroidal neovascularisation  
31 (CNV) processes in wet ARMD [28, 39]. Despite the advances made in understanding ARMD  
32 and the recent introduction of new treatments for some forms of ARMD, these new intravitreal  
33 applications of anti-vascular endothelial growth factors are not able to reverse the central visual  
34 loss due to the disease, and presently there is no available treatments for the majority of affected  
35 people [28, 30, 33, 40, 41]. With limited treatment options, and given the relatively high  
36 prevalence and the increased incidence of ARMD as population age, several studies have  
37 explored the potential benefits of nutrients and other supplements that can delay disease  
38 progression to minimise visual loss [42-45]. Oxidative stress is considered a crucial event for  
39 retinal tissue damage and is implicated as a contributing factor in the pathogenesis of ARMD.  
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3 Consequently, experimental and clinical studies have investigated the use of antioxidants and  
4 other micronutrient supplements as potential strategies to delay the progression of ARMD and  
5 visual loss [35, 36, 46, 47]. Thus, the potential protective properties of antioxidant and anti-  
6 inflammatory medicinal plants and phytochemicals on degenerative ocular diseases have been  
7 investigated [48-50]. The main herbal medicines recommended to treat ARMD include  
8 xanthophylls lutein, zeaxanthin and ginkgo [42, 48, 51, 52]. The literature also describes the  
9 photo-protective effect on age-related ocular diseases of berries, bilberry (*Vaccinium myrtillus*  
10 L.), cranberry (*Vaccinium oxycoccos* L.), blackcurrant (*Ribes nigrum* L.), wolfberry (*Lycium*  
11 *barbarum* L.), grapes (*Vitis vinifera* L.), stigmas as saffron (*Crocus sativus* L.), and roots and  
12 rhizomes as turmeric (*Curcuma longa* L.), or Dan Shen (*Salvia miltiorrhiza* Bunge) [36, 48, 50,  
13 53-59]. Ginkgo has become an increasingly well-known medicinal plant worldwide, and is used  
14 to treat peripheral vascular disease and cerebral insufficiency [16, 22-24]. Experimental and  
15 clinical studies have revealed the potential benefits of ginkgo for a wide range of pathological  
16 conditions, including hepatoprotective, photoprotective effects, DNA repair mechanism, and  
17 antioxidant and anti-inflammatory activities [11, 12, 19, 60-63]. It has been reported that ginkgo  
18 effects are related to its free radical quenching properties, reduction of platelet aggregation and  
19 improved blood flow, mainly due to the antioxidant and radical scavenging properties of ginkgo  
20 flavonoids and terpenoids, and to the potent and selective platelet activating factor (PAF)  
21 antagonism of ginkgolides [48, 50, 59, 61]. Flavonoids seem to prevent or reduce cell  
22 membrane lipid peroxidation and reduce damage to lipid membranes [61-63]. Different *in vitro*  
23 and *in vivo* experimental investigations have shown that EGb 761 can inhibit or reduce  
24 functional retinal impairments and protect retinal tissue from oxidative stress [11, 64-66]. The  
25 EGb 761 effect on retinal microcirculation has also been demonstrated: increased microcircular  
26 blood velocity, flow, and volume [67]. Consequently, the use of ginkgo extract has aroused  
27 emerging interest to treat patients with age macular degeneration because vascular factors and  
28 oxidative damage are thought to be two potential mechanisms in the pathology of ARMD [43,  
29 63]. Ginkgo extract also has minimal adverse effects within the daily dose range [26, 52, 61]. It  
30 should be noted, however, that there is some concern about ginkgo extract possibly increase the  
31 risk of bleeding because one of its components, ginkgolide B, is a potent PAF inhibitor. The  
32 literature reports only one case in which regular ginkgo use is associated with vitreous  
33 haemorrhage in a 78-year-old woman with ARMD [68]. Recently, several preliminary studies  
34 have investigated the efficacy of GBE in ARMD patients. The literature includes a systematic  
35 review published in 2013 [52] that reports two randomised controlled trials (RCT) using GBE  
36 with positive effects on vision in patients with ARMD. In the Lebuissou RCT [69], a double-  
37 blind trial was conducted to compare EGb761 (80 mg twice daily, 160 mg) with a placebo in 10  
38 out-patients aged over 55 attending an eye clinic. Treatment lasted 6 months. Drug effectiveness  
39 was assessed on the fundoscopy results and on visual acuity and visual field measurements. In  
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3 spite of the small population sample, a statistically significant improvement in long-distance  
4 visual acuity was observed. In a controlled double-blind trial with 99 patients aged 59 years or  
5 more, Fies [70] compared the therapeutic efficacy of EGb 761 over a 6-month treatment period  
6 with either 240 mg/day (group I: 50 patients) or 60 mg/day (group II: 49 patients). The study  
7 participants' vision markedly improved in both the treatment groups only after 4 weeks, with  
8 more pronounced improvements in group I. According to Evans [52] and Sin [59], both RCT  
9 showed positive effects of ginkgo on vision, but these trials were small with a short observation  
10 period to provide concluding evidence. In addition, Barlett and Eperjesi [42] suggested that  
11 Lebuissou beneficial findings should be viewed cautiously as the assessment of outcome was  
12 not masked. Dubey *et al.* [63] considered that the question as to whether ARMD patients should  
13 take EGb 761 has not yet been fully answered and further studies to establish the efficacy of  
14 ginkgo are required. To conclude, although there are many positive outcomes for preventive, or  
15 even therapeutic, uses of GBE for ARMD, its efficacy remains controversial and there is still no  
16 compelling scientific evidence. Further research into the potential clinical role should be  
17 conducted.  
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### 31 **Retinal detachment**

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33 RD is a serious event that can result in vision loss. Retinal photoreceptors receive oxygen and  
34 metabolic support from the choroid. If the retina separates from the choroid, photoreceptor cells  
35 die and cause damage that can lead to blindness. Basically, there are three types of RD, the most  
36 frequent being rhegmatogenous (RRD) that develops due to retinal rupture and by fluid passing  
37 from the vitreous cavity to the potential space below the retina, which leads to the retina  
38 separating from the underlying choroid. This requires surgical treatment [71]. Although RD can  
39 appear in any eye, certain eyes are predisposed to develop it, and the risk factors to be  
40 considered are: axial myopia, post-cataract surgery, ocular trauma, RD in an eye, family history  
41 of RD and various retinal disorders, including ARMD [72] and DR [73], among others. Despite  
42 the high prevalence of these conditions, there are currently few or no treatments available.  
43 Retinal treatment is successfully treated in 80-99% of the cases, retinal death due to RD has not  
44 treatment. Therefore, preventive intervention may be the most effective course of action against  
45 these age-related eye diseases. It should be noted that all these retina diseases are associated  
46 with aging and their aetiology shares some mechanisms of action. These pathways include  
47 oxidative stress, inflammation and apoptotic factors, which provide information on potentially  
48 targetable areas [74]. Currently, one of the therapeutic approaches does not focus so much on  
49 the causes of diseases, but on ways to prevent cell death, such as administration of anti-  
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3 apoptotic, anti-inflammatory and neurotrophic compounds. In fact the use of antioxidant  
4 compounds, antiapoptotic, anti-inflammatory and viability factors can slow down retina  
5 neurodegeneration by delaying retinal cell death [75]. In recent years, many epidemiological  
6 and clinical studies have been conducted and demonstrate the beneficial effects of plant-derived  
7 compounds in eye diseases. Many natural compounds exhibit strong antioxidant, anti-  
8 inflammatory and antiapoptotic properties, such as flavonoids and terpenes, which are the main  
9 active ingredients in ginkgo leaves. In fact GBE have relevant properties, such as protection  
10 against free radical damage and lipid peroxidation [48, 50, 59, 61-63, 74]. Marcocci *et al.* [76]  
11 pointed out the protective capacity that GBE show against NO in studies into mammalian cells.  
12 By preventing loss of ganglion cells in the retina and optic nerve atrophy, these GBE properties  
13 can protect the optic nerve from degeneration and, thus, prevent blindness in patients with  
14 glaucoma, RD and retinitis pigmentosa (RP) [77]. Ma *et al.* [78] conducted studies with  
15 Sprague-Dawley rats by injecting GBE, followed by the crushing of the optic nerve. The  
16 animals that received GBE extract by intraperitoneal injection prior to optic nerve crushing  
17 showed a significantly higher survival rate of ganglion cells in the retina than the controls. Since  
18 the development of EGb 761 in the sixties [79], this extract has been the most widely studied  
19 extract in clinical research, whose effect has been investigated in a wide range of disorders and  
20 diseases. Numerous studies show that EGb 761 is a relevant antioxidant extract that can provide  
21 effective protection against oxidative stress [80, 81]. Therefore, the therapeutic potential of EGb  
22 761 can, at least in part, be attributed to its antioxidant action. In another study with an  
23 experimental model of tractional RD, Baudouin *et al.* [82] confirmed the efficacy of EGb 761 to  
24 prevent retinal retraction. These authors investigated the effects of this extract administered  
25 orally for 1 month after inducing vitreoretinopathy. The untreated animals developed an  
26 inflammatory reaction, and extensive intravitreal and preretinal membranes, which led to  
27 tractional RD. In treated animals, EGb 761 prevented the inflammatory reaction, reduced  
28 vitreoretinal proliferation and decreased the frequency of RD. Studies conducted with Kunming  
29 mice show that EGb 761 inhibits the apoptosis of photoreceptor cells and increases cell survival  
30 after damaging or intense light exposure [83]. EGb761 has also been indicated to prevent RD-  
31 related inflammation after inducing vitreoretinopathy and, thus, reduced the occurrence of RD  
32 [77]. Paasche *et al.* [84] studied age-related changes of mitochondria in Müller (retinal glial)  
33 cells from guinea pigs fed with, or not, externally applied EGb 761, an established radical  
34 scavenger. The obtained results suggested that many structural and functional parameters of the  
35 mitochondrial aging of Müller cells were affected by oxidative damage, and that externally  
36 applied radical scavengers could protect organelles from the damaging actions of free radicals.  
37 Treatment with EGb 761 increased the intrinsic glutathione content of aged guinea pig Müller  
38 cells, and the protective effect of the radical elimination of the drug was mediated both directly  
39 and indirectly. In the article “Neuroprotection for Retinal Detachment”, Huckfeldt and Vavvas  
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3 [85] reviewed the main pathways of cell death activated by RD and progress in the development  
4 of neuroprotective strategies. They pointed out that, although current results are promising,  
5 functional aspects in the clinical setting have not yet been tested. The physiological responses  
6 observed after RD are complicated and effective neuroprotection may require a combinatorial  
7 approach, such as surgical treatment and complementary drug therapy, to address inflammatory  
8 and proliferative causal responses. Similarly, Murakami *et al.* [86] conducted a study on  
9 photoreceptor cell death and RD, and considered that a better understanding of the molecular  
10 mechanisms related to the death of these cells would allow new therapies to be developed to  
11 prevent vision deficits due to loss of photoreceptor cells. According to Li *et al.* [87], currently  
12 no effective therapy is clinically available to protect photoreceptor cells. Therefore, the  
13 development of neuroprotective reagents for photoreceptors could contribute to long-term visual  
14 stability for postoperative RD patients. These authors used an experimental RD model and  
15 provided evidence that oxidative stress and inflammation are activated in the retina after RD,  
16 and photoreceptors are an important source of ROS production by regulating NADPH oxidase  
17 (NOX). Their results pointed out that adrenergic receptors were novel therapeutic targets for  
18 neuroprotective substances to prevent the death of photoreceptors induced by RD.  
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## 32 **Diabetic Retinopathy**

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35 DR is the commonest microvascular complication of diabetes mellitus (DM) and one of the  
36 leading causes of blindness worldwide [48]. One third of the world's diabetic population  
37 presents different DR stages [88]. DR is a complex event; oxidative stress and inflammation are  
38 the main pathways to affect the pathogenesis of DR, which is characterised by neuronal and  
39 pericyte cell loss, the formation of acellular-occluded capillaries and microaneurysms that result  
40 in capillary non-perfusion and hypoxia, increased leukostasis and vascular basement membrane  
41 thickening. These alterations trigger microvascular complication by leading to the breakdown of  
42 the blood-retina barrier, exudates, haemorrhages and profound ischaemia of the retina leading to  
43 neovascularisation [89]. Injuries induced by diabetes and hyperglycaemia are related with  
44 increased arginase activity and low levels of bioavailable NO, and overactive arginase may  
45 contribute to DR by reducing NO and increasing oxidative stress due to arginase activity.  
46 Furthermore, the serum of diabetic patients increases the levels of reactive oxygen metabolites  
47 (ROM) compared with healthy subjects, and it has been demonstrated that ROM increases  
48 rapidly in serum as DR progresses [89]. The main treatment for DR is intensive glycemic  
49 control. Another important treatment is laser photocoagulation, but it is not effective in many  
50 patients. In patients with diabetic macular oedema, this being the mayor complication of DR,  
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3 intravitreal steroids injections are used (including triamcinolone, that it is not very common  
4 nowadays, and dexamethasone implant, more common). The intravitreal administration of  
5 VEGF has been developed in recent years. [90]. The prevention of the vascular complications of  
6 diabetes is now an important public health priority worldwide. Currently the treatments  
7 associated with antidiabetic drugs mostly intend to regulate vascular changes, inflammation, and  
8 to reduce oxidative stress. In this context, GBE have drawn attention given their antioxidant and  
9 platelet anti-aggregation properties and other effects, such as dilated blood vessels and  
10 improved abnormal blood rheology [89, 91]. According to recent studies, using ginkgo is a good  
11 option to prevent the progress of DR and to reduce its prevalence. Zhao *et al.* [91] demonstrated  
12 that administering ginkgo leaf tablets contributes to reduce the oxidative stress, which plays an  
13 important role in DR. Previously in their randomised double-blind placebo-controlled study  
14 with 140 patients that lasted 3 three years, Zhao *et al.* [92] showed that administering  
15 ginkgo leaf tablets with Liuwei dihuang pills can reduce the risk of DR and its prevalence by  
16 66% (25% from the control group *versus* 8.5% from the treatment group). Zhao *et al.* [93]  
17 conducted a study by performing blood testing among diabetic patients to conclude that  
18 GBE lower the apoptosis rate of retinal vascular endothelial cells. Other research works done in  
19 rats [89] link GBE with the prevention of an increase in VEGF and TFN- $\alpha$ , proinflammatory  
20 mediators. In other diabetic animal models, GBE have been seen to reduce NO-induced  
21 oxidative stress, besides decreasing its production. This attenuates the apoptosis of retinal  
22 ganglion cells and photoreceptor cells. GBE have anti-inflammatory effects, and one of the  
23 possible mechanisms is the ability to down-regulate the expression of the platelets activating  
24 factor (PAF). GBE can also significantly reduce the transcriptional expressions of hypoxia  
25 inducible factor, HIF-1 $\alpha$ , and VEGF in retinal pigment epithelial cells under hypoxic conditions  
26 [88]. Interleukin regulation appears to play a key role in the anti-inflammatory effect of GBE  
27 [94]. According to the background, GBE improve retinal health by increasing the retinal  
28 capillary blood flow in patients with DR. Correct GBE use lowers the incidence and progression  
29 of this sight-threatening complication in diabetic patients. GBE could be a potential candidate  
30 for use in the prevention and treatment of DR.  
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## 51 **Glaucoma**

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54 Glaucoma can be defined as a series of ocular diseases characterised by progressive reduction of  
55 visual field due to secondary optic nerve damage. It is mainly a consequence of increased  
56 intraocular pressure (IOP) that causes optic nerve injuries, starting with retinal ganglion cells  
57 apoptosis [74]. This disease causes progressive and irreversible damage, and it remains  
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3 asymptomatic and it is not usually detected until it is severe and has progressed to advanced  
4 stages. Glaucoma is a leading cause of vision loss in people aged 60 and more. However, it can  
5 be prevented if treatment begins in early disease stages [95]. There are several types of  
6 glaucoma, the commonest of which is primary open-angle glaucoma (POAG). Among other  
7 causes, it is provoked by the slow clogging of drainage canals, which raises intraocular pressure.  
8 Glaucoma is linked to changes in NO metabolism, oxidative damage and vascular disorders.  
9 The main POAG-related damage is believed to be the degeneration of the sclero-corneal  
10 trabecular meshwork (TM). Several studies report reduced field of vision and increased IOP  
11 associated with the oxidative damage of TM cells' DNA in patients glaucoma, plus an  
12 imbalance in the redox status being related to the high expression of endothelial-leukocyte  
13 adhesion molecule in TM [50]. Nitrosative stress, together with oxidative stress caused by ROS  
14 of endogenous and exogenous sources, can induce cell damage and death and, accordingly,  
15 ophthalmic diseases [42, 50, 96]. Current glaucoma treatments are based mainly on the arrest of  
16 disease progression, but they do not restore vision loss [97]. Previous studies report that several  
17 antioxidants, such as vitamins C and E, omega-3 and omega-6 fatty acids, and GBE, can help to  
18 regulate IOP and protect retina from oxidative or nitrosative stress [96]. However, further  
19 research is needed to find new and more effective therapies to prevent the irreversible blindness  
20 caused by glaucoma. Ginkgo scientific research started given the great interest that the  
21 antioxidant and neuroprotective properties of ginkgo generated, which can be used against  
22 glaucoma. Thus GBE have demonstrated to play an important role in the improvement of  
23 several degenerative eye diseases, including glaucoma. GBE can improve the vision of patients  
24 with normal-tension glaucoma (NTG) and other ophthalmic vascular diseases [98]. These  
25 beneficial effects can be explained by antioxidant and vascular protector properties, which result  
26 in improved ocular blood flow after being administered. Despite extensive information, more  
27 studies are needed to provide conclusive evidence [99]. Terpenes and flavonoids are the main  
28 compounds responsible for the antioxidant ability of GBE used against glaucoma [100]. To  
29 conclude about GBE and glaucoma, and based on the background, GBE as a neuroprotector can  
30 prevent retinal ganglion cells damage. These extracts can also be used to prevent and treat other  
31 retinal neurodegenerative diseases. The use of GBE is proposed to improve oxidative stress in  
32 NTG patients and to increase visual acuity, but further studies are needed.

### 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 **Ischaemic retinal disease**

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57 Ischaemic retinopathy is a pathological state caused by the partial or total suppression of ocular  
58 blood supply. It is a common cause of visual impairment, and sometimes of blindness. The  
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3 dysregulation of ocular vessels can also contribute to the progression of ocular diseases like  
4 glaucoma (vascular theory of glaucoma pathogenesis) [101]. Ischaemic retinopathy has been  
5 related to atherosclerotic disease by causing obstruction of vessels (carotid artery occlusive  
6 disease), central retinal artery occlusion or branch retinal artery occlusion, retinal vein  
7 occlusion, diabetes, etc. Its consequences can determine neurotoxic effects due to the sensitivity  
8 of retinal cells to ischaemic injury. Compensation mechanisms are initiated by forming new  
9 abnormal blood vessels in the retina. As a result, retinal ischaemia can lead to morphological  
10 and functional changes, and produces pain and visual loss depending on the part of the retina  
11 that is affected [13]. In cellular terms, neuronal depolarisation increases intracellular calcium  
12 concentrations, and oxidative stress is produced as a consequence of the lack of blood flow-  
13 dependent energy. Glutamatergic stimulation may also increase. However, given the retina's  
14 special characteristics, it is more resistant to the damage induced by reduced blood flow than the  
15 brain. Animal models have been used to study retinal ischaemia and different treatments have  
16 been proposed to avoid it [102]. As regards natural products, very few studies into ginkgo and  
17 retinal ischaemia have been reported [103-108]. *In vitro* and *in vivo* experiments show the  
18 ability of ginkgo, its extracts and its components to improve blood flow in different vascular  
19 territories, and to prevent oxidative damage induced at the cellular level [109, 110]. Ginkgo  
20 properties as a vasodilator and a free radical scavenger have been confirmed, as have its  
21 activities as a membrane stabiliser and a regulator of metabolism (Figure 1). The EGb761  
22 extract is able to act as a NO scavenger by further inhibiting iNOS mRNA expression [111].  
23 Moreover, the effects on mechanisms of angiogenesis have been tested [15]. Some clinical trials  
24 performed with EGb761 indicate an effect of increasing ocular blood flow in glaucoma patients  
25 with an improved visual field [112, 113]. Among its components, flavonoids can be partially  
26 responsible for this activity. These phenolic compounds can also counteract the negative effects  
27 caused by ischaemic-dependent oxidative stress to the extent that they are effective as free  
28 radical scavengers and are able to inhibit lipid peroxidation [109, 114]. Studies into structure-  
29 activity relations performed with flavonoids show a positive relationship between the presence  
30 of three free hydroxyl (OH) phenolic groups and increased ocular blood flow in rabbit eyes  
31 [115]. In healthy subjects, EGb761 administration significantly improves the ocular blood flow  
32 [112]. The vasodilatory activity mediated by the release of the relaxing factors of the vascular  
33 endothelium and prostacyclin (PGI<sub>2</sub>) due to the presence of flavonoids, and the antiplatelet  
34 activity mediated by diterpenes, might justify these effects. Vascular ocular alterations may be  
35 linked to NTG development. Park *et al.* [116] studied the effects of EGb761 on 30 NTG patients  
36 with a randomised double-masked, placebo-controlled clinical trial. The cases group included  
37 15 patients treated orally with 80 mg of ginkgo extract, the equivalent to 19.2 mg of flavonoids,  
38 twice daily for 4 weeks. The control group patients (N:15) received a placebo. After treatment  
39 with EGb761, a statistically significant increase in peripapillary blood flow was recorded.  
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3 Volume and velocity also significantly increased. No side effects were recorded in any patient.  
4 The few patients recruited, the trial duration and variations in the vascular effects depending on  
5 specific retinal territories were the main limitations of this study. The effects of GBE on the  
6 biomarkers of ocular perfusion in patients with open-angle glaucoma (OAG) have also been  
7 evaluated. Wimpissinger *et al.* [117] found no effects on retinal blood flow in healthy subjects  
8 (N:15) in a randomised double-masked, placebo-controlled, two-way cross-over study after a  
9 single dose treatment (240 mg of the EGb761 extract corresponding to 57.6 mg of flavonoids  
10 and 14.4 mg of terpenlactones). In a randomised double blinded, placebo-controlled cross-over  
11 study (N:45), the effects of a complex preparation containing ginkgo and other antioxidant  
12 substances (vitamins, minerals, omega-3 from fish oil, polyunsaturated fatty acids (PUFA), *N*-  
13 acetylcysteine, bilberry fruit extract, coenzyme Q10, grape seed extract, quercetin, flax seed oil,  
14 etc.) on blood flow in patients with open-angle glaucoma were tested [118]. One month after  
15 treatment, a statistically significant increase in blood flow velocities in all the retrobulbar blood  
16 vessels was observed as compared to the placebo. Superior and inferior retinal capillary mean  
17 blood flows also increased. The patients treated with the complex combination showed reduced  
18 vascular resistance in the central retinal and nasal short posterior ciliary arteries *versus* the  
19 placebo group. The high composition complexity of the employed preparation limited the direct  
20 relationship between the ginkgo extract and the observed beneficial effects on retinal blood  
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### 33 34 35 36 37 **Retinal disease produced by light oxidation**

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40 Light has a phototoxic effect on ocular tissues, and more specifically on the retina. Retinal  
41 damage depends on light intensity, its wavelength and exposure time. While acute exposure  
42 damage causes eyelid burns, photokeratitis and solar retinopathy, different epidemiological  
43 studies have found an association between long-term sun exposure and cataracts, ARMD (the  
44 leading cause of vision loss in the Western world in people aged 65 and over), climatic droplet  
45 keratopathy and pterygium [119]. Retina and RPE are tissues exposed to high photo-oxidative  
46 stress levels due to raised concentrations of available oxygen, and also to the presence of a  
47 number of endogenous photosensitisers, such as vitamin A derivatives, lipofuscin, melanin,  
48 flavins and porphyrins. The outer retina contains high concentrations of polyunsaturated fatty  
49 acids, including the most unsaturated fatty acid in the human body, docosahexaenoic acid with  
50 six double bonds, which are extremely susceptible to peroxidation. Light exposure increases  
51 photoreceptor degeneration and diminishes the cell density of certain retinal layers (inner and  
52 outer nuclear layers and the ganglion cell layer). This cell loss occurs by the apoptosis mediated  
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3 by alterations in gene expression [120]. Photochemical damage to the retina can be classified as  
4 different types (Figure 2)[121]. A photosensitive derivative of visual pigment *N*-retinylidene-*N*-  
5 retinylethanolamine (Figure 3) may be involved in this phototoxicity. Many studies have  
6 demonstrated that antioxidant compounds are able to prevent light-induced retinal damage.  
7 Among other natural antioxidants, EGb 761 stands out. *In vitro* studies have shown the EGb761  
8 effect on some important protein expression levels, such as cathepsin B, heat shock protein, and  
9 cytochrome c reductase, and have pointed out that multiple pathways can be involved in light-  
10 induced damage and the extract protective effect [37]. Daily oral EGb 761 administration  
11 partially inhibits the apoptosis of photoreceptor cells, which results in increased photoreceptor  
12 cell survival. This extract also preserves retinal morphometry and provides functional protection  
13 [122]. Flavonoid glycosides are the main compounds responsible for the antioxidant capacity of  
14 the ginkgo extract (Figure 4). These compounds are able to scavenge O<sub>2</sub> radicals, hydroxyl  
15 radicals, lipid peroxides and iron ions [119]. In addition to ginkgo flavons, the extract also  
16 contains other substances of less interest, such as organic acids. Thus EGb 761 possesses both  
17 hydrophilic and lipophilic characteristics, unlike other antioxidants such as ascorbic acid,  
18 glutathione or uric acid. Intraperitoneal EGb 761 administration (100 mg/kg) lasting between 1  
19 week before and 2 weeks after light exposure increases retinal antioxidant capacity and can  
20 partially inhibit photoreceptors apoptosis [123]. Grosche *et al.* [124] demonstrated that EGb 761  
21 administration does not prevent Müller cells hypertrophy, but inhibits the expression of  
22 pathological marker molecules.  
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## Others

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41 GBE can be used in processes that are the primary cause of the aforementioned retina  
42 pathologies, or are secondary causes that lead to disease developing. In this context, EGb 761  
43 can prevent retinal pigmented epithelial degeneration because it is a scavenger of NO and  
44 potentially protects RPE cells from its antiproliferative action [11]. The same extract also has a  
45 positive effect on inflammatory retinitis according to an experimental model of autoimmune  
46 uveoretinitis induced in rats [125]. Some studies demonstrate that ginkgo can be useful for  
47 treating Behcet's disease (BD), which is a multisystem disorder including ophthalmic  
48 manifestations such as iritis or uveitis. BD is linked to oxidative processes. For this reason, the  
49 use of pharmacological agents with antioxidant properties is considered increasingly important.  
50 Indeed EGb 761 is one of the proposed pharmacological agents because it is a free radical  
51 scavenger and its use strengthens the organism's antioxidant defence system and greatly  
52 contributes to clinical improvement in BD [126]. Other authors have also investigated the EGb  
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3 761 effect on uveitis using animal models. They concluded that the ginkgo extract decreases the  
4 uveitis anti-inflammatory process, but also notably suggest the need for more research about  
5 EGb 761 properties and effects to gain a better understanding of the mechanism and to confirm  
6 activities like blocking iNOS protein expression and the anti-inflammatory effect on eyes [127,  
7 128].  
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12 RP is another retina disease related to oxidative processes. This disorder leads to reduced vision  
13 and particularly affects night vision, and can lead to blindness in severe cases because of  
14 alterations that the retinal rod and cone cells undergo. GBE act on preventing loss of retinal  
15 ganglion cells and optic nerve atrophy by protecting the optic nerve from degeneration. Thus  
16 these extracts may prevent blindness in patients with RP. Other studies suggest that GBE  
17 conserve mitochondrial metabolism and ATP production in tissues by inhibiting morphological  
18 distortion and oxidative damage from mitochondrial aging. Others have demonstrated the  
19 ability of GBE for scavenging NO and, hence, their protector effect against NO reactivity [74].  
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### 29 **Discussion, future perspectives and conclusions**

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31 Retinal diseases are related to oxidative stress, and flavonoids, terpenes, and other antioxidant  
32 components of ginkgo extracts play a particular important role in their treatment and prevention.  
33 Together with antioxidant properties, anti-inflammatory activities are also of interest. These  
34 ginkgo extract capacities can be attributed to the synergistic activity of different extract  
35 components [129]. Two mechanisms of action have been suggested in order to explain GBE  
36 antioxidant capacity. Components of the extracts are able to scavenge different free radicals,  
37 including ROS such as hydroxyl radicals ( $\text{OH}\cdot$ ) [130], superoxide radicals ( $\text{O}_2\cdot^-$ ), peroxy  
38 radicals ( $\text{ROO}\cdot$ ) or hydrogen peroxide ( $\text{H}_2\text{O}_2$ ), among others, and also reactive nitrogen species,  
39 as nitric oxide ( $\text{NO}\cdot$ ) and ferryl ion species [131]. This activity is mainly related to flavonoids,  
40 while terpenes increase cell enzymatic antioxidant mechanisms. Bilobalide and ginkgolides  
41 increase superoxide dismutase, glutathione peroxidase, catalase and heme-oxygenase-1  
42 activities, which promotes GBE antioxidant effect [132]. In addition, it has been reported that  
43 EGb 761 is able to regulate Mn and Cu homeostasis in the brain, metals that act as cofactors of  
44 antioxidant enzymes [133, 134]. The NO scavenging capacity of GBE can inhibit iNOS  
45 expression. In this sense, the inhibition of NF- $\kappa$ B mediated by GBE should contribute to the  
46 downregulation of iNOS and other inflammatory mediators such as TNF- $\alpha$ , IL-6, IL-2, IL-8 and  
47 ICAM-1, corroborating ginkgo dual role as antioxidant and anti-inflammatory agent [135]. The  
48 abundant polyunsaturated fatty acids (PUFAs) in the retina could be protected against  
49 peroxidation by ginkgo extracts, as has been previously demonstrated in rat liver microsomes  
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3 [136]. Drieu *et al.* (2000) [137] reported that EGb 761 produces an increase in circulating and  
4 cellular PUFA amounts, including arachidonic, docosahexaenoic acids and eicosapentaenoic  
5 acid. This effect should be mediated acting on synthesis and/or catabolism of PUFAs. These  
6 results pointed out that in addition to antioxidant capacity of ginkgo components, the increase in  
7 PUFAs can explain a most durable effect of EGb 761. PUFAs may be target of oxidative  
8 damage preserving other important molecules. On the other hand, ginkgo extract avoids  
9 peroxidation of membrane PUFAs preserving membranes fluidity, and keeping their integrity.  
10 Furthermore, docosahexaenoic acid that was increased by two fold in rats after EGb 761  
11 treatment plays an essential role in visual function, regulating via rhodopsin the photo-signal  
12 transduction, and protecting RPE from oxidative stress [138].  
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20 GBE have been used for the treatment of cerebral and peripheral vascular insufficiency due to  
21 their vasorelaxant properties [139] as well as their capacity to regenerate injured peripheral  
22 nervous system [140]. An increase in angiogenesis has been reported by Zhu *et al.* [141] as a  
23 consequence of VEGF significant rise in rats treated with EGb 761 after acellular nerve  
24 allografts. GBE have also shown a promotion of VEGF expression in ischemic brain tissue after  
25 subarachnoid hemorrhage in rat model [142]. However, there are contradictory results  
26 regarding to ginkgo effect over this growth factor. Oh *et al.* [143] reported that GBE reduce  
27 hypoxia-inducible factor-1 $\alpha$  and VEGF expression in cultured RPE cells under chemical  
28 hypoxia. Juarez *et al.* [144] found similar results in a murine model. The vasodilating properties  
29 of GBE makes choroidal circulation has large-caliber vessels, high flow rate, providing cells  
30 more oxygen, and attenuating some retinal diseases in a non-invasively way. However, more  
31 studies are needed in order to clarify inconsistent data before GBE can be used in therapeutic of  
32 diseases as wet macular degeneration.  
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42 The experimental and clinical data indicate that ginkgo leaf extract is well tolerated and is  
43 apparently safe in humans. Most studies demonstrate its potential therapeutic role and its  
44 efficacy in degenerative diseases of the retina, such as AMD, DR, glaucoma, ischaemic retinal  
45 disease, retinal disease produced by light oxidation and RD. The specific mechanism by which  
46 GBE induce effects is still to be fully elucidated. Nevertheless, beneficial ginkgo effects can be  
47 explained by its antioxidant, anti-inflammatory and vascular protector properties. In conclusion,  
48 GBE could be an effective phytochemical therapeutic target, but further studies are necessary.  
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## FIGURE LEGENDS:

Figure 1. Mechanisms of action of ginkgo in ischaemic retinal disease. Based on the article: Osborne *et al* [13].

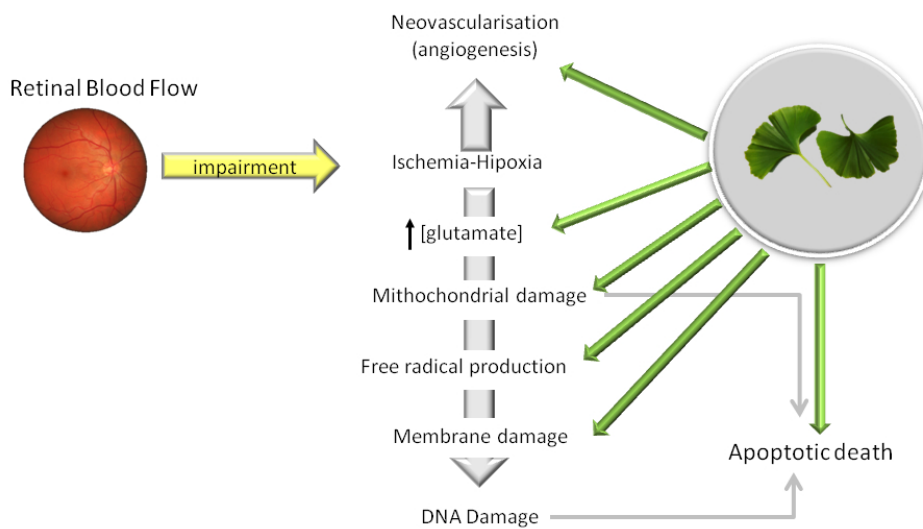
Figure 2. Types of light-induced damage to the retina. Based on the article: Boulton *et al*. [121].

Figure 3. N-retinylidene-N-retinylethanolamine

Figure 4. EGb 761 main flavonoids.

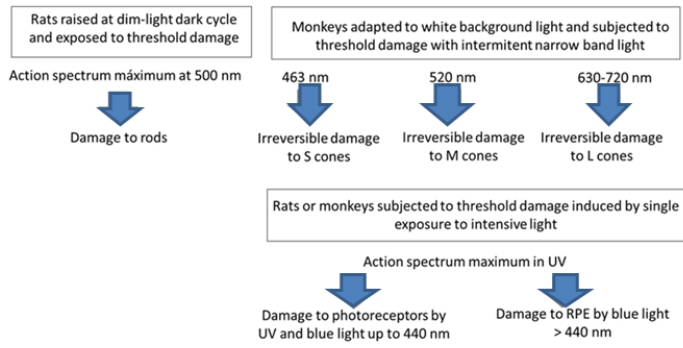
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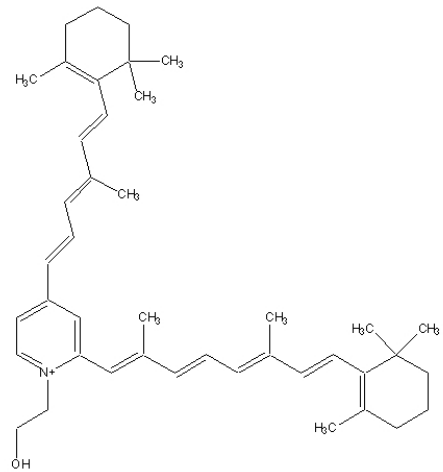


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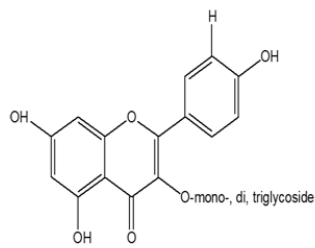
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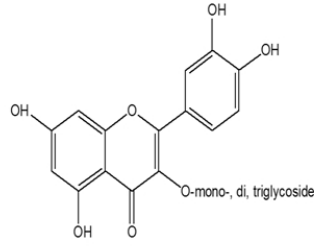
N-retinylidene-N-retinylethanolamine

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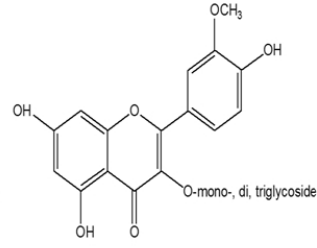
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Kaempferol derivatives



Quercetin derivatives



Isorhamnetin derivatives

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*Letter to the Editor*

Dra Isabel Martínez Solís  
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Dear Editor, Professor José L. Ríos,

Firstly, we would like to thank *Planta Medica* for this opportunity to publish our work in this prestigious journal. It is very important for us because *Planta Medica* is one of the most influential publications in the phytotherapy field.

All reviewers' comments and suggestions have been considered in the revised manuscript. We believe that the paper has been significantly improved after introducing these corrections. We would like to thank the reviewers for their interest and arduous work.

Please if any further corrections are needed, let us know and we would be pleased to correct it again.

Sincerely yours and awaiting for your response.

The authors

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3 Universidad Cardenal Herrera-CEU, CEU Universities

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5 Alfara del Patriarca, Valencia, Spain  
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9 Monday, March 22, 2019  
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16 Dear Editors:  
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20 Please find enclosed a manuscript entitled: "Neuroprotective potential of *Ginkgo biloba*  
21 in retinal diseases" which we are submitting for exclusive consideration of publication as  
22 an article in *Planta Medica*.  
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25 Editors invited us for publishing a review article in the neuroprotection field. In this sense,  
26 we raise the effect of *Ginkgo biloba* on neurodegenerative diseases in the retina because  
27 apoptotic nerve cell death is implicated in the pathogenesis of several neurodegenerative  
28 conditions that affect retinas. Like other tissues of the central nervous system, the retina  
29 is susceptible to damage by oxidative processes that result in age-related macular  
30 degeneration, diabetic retinopathy, glaucoma, ischaemic retinal disease, retinal disease  
31 produced by light oxidation and detached retina, among other diseases. The main purpose  
32 of this review paper is to provide a concise, accurate information to the subject matter  
33 about the neuroprotective effect of *Ginkgo biloba* on retina neurodegenerative diseases,  
34 and inform the reader critical of the latest developments.  
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41 Thank you for your consideration of our work! Please address all correspondence  
42 concerning this manuscript to the corresponding author.  
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46 Sincerely,  
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