

1 **Risk factors for the development of haemorrhagic**
2 **anovulatory follicles (HAFs) in the mare**

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11
12 **Abstract**

13
14 Haemorrhage into the dominant follicle during the reproductive season is a subtle but
15 definitive cause of infertility in the mare population. This condition however can be of
16 high relevance for an individual in which its incidence is abnormally high. Little is
17 known about the nature and factors affecting the incidence of haemorrhagic anovulatory
18 follicles in the mare. The objectives of the study were to define and characterize the
19 ultrasonographic development and incidence of haemorrhagic anovulatory follicles and
20 to investigate possible risk factors influencing its occurrence. Detailed reproductive and
21 ultrasound records of seven mares studied during their entire reproductive lives (> 10
22 years and 612 oestrous cycles) were analysed retrospectively and computed into a
23 statistical mixed model. Of all animal studied, two mares were found to have an
24 | unusually high incidence of haemorrhagic anovulatory follicles [of](#) about 25 %. Time of

25 season and use of induction treatments (Cloprostenol) were found to influence its
26 incidence. It appears that early-enhanced stimulatory effect of LH on an ovary with
27 presence of small and immature follicles might increase the risk of ovulatory failure of
28 those follicles later in the cycle. Mares during the months of highest follicular activity
29 (May to -August) and after treatment with hormones to induce oestrus and ovulation are
30 at greater risk to develop haemorrhagic anovulatory follicles. The potential relevance of
31 this study is two folds: clinical relevance for the practitioner to better understand this
32 condition and so improve reproductive management of mares with abnormally high
33 incidence; and to provide useful insights for researchers willing to further investigate
34 the nature of this phenomenon.

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38

39 **Introduction**

40

41 Failure to ovulate the dominant follicle and subsequent persistence of the anovulatory
42 unruptured structure has been reported to occur in several domestic animal species and
43 in women. The species in which this phenomenon has been demonstrated as naturally
44 occurring are cows (Garverick 1997; Peter 2004), mares (Newcombe 1987, Ginther
45 1992; Ginther *et al.* 2007) and women (Marik and Hulka 1978; Katz 1988; Zhu 1989;
46 Toda 1990). Research studies on methods of contraception and ovulatory process have
47 also shown experimentally-induced unruptured follicles in rabbits (Salhab *et al.* 2003;
48 Grinwich *et al.* 1972), rats (Armstrong and Grinwich 1972) and women (Killick and
49 Elsein 1987). It seems that this phenomenon might occur naturally in all domestic
50 species, however only in species like the cow and the mare in which follicular dynamics

51 are easily and routinely followed ultrasonographically, allow sufficient number of
52 observations to characterize this syndrome.

53 A distinct cause of ovulatory failure observed in the mare is haemorrhage of the
54 dominant follicle(s) with subsequent organization of follicular contents and, in most
55 occasions, luteinization of follicular wall without previous follicular collapse. This
56 condition has been referred to in different ways: first reference to what seemed to be
57 the same sort of follicle was back in the 40's (Burkhardt 1948) where it was described
58 as occurrence of large persistent follicles during the months of October to November.
59 Later in the century they were given the name of "autumn follicles" (Knudsen and
60 Weiart 1961) as they were reported to occur more frequently at the end of the ovulatory
61 season. Ginther (1979) was the first to describe the macroscopic features of this
62 anovulatory follicle which was defined as blood-filled structures with presence of luteal
63 tissue in the surrounding wall and so termed "haemorrhagic follicles". With the
64 advance in imaging techniques in the 80's, several studies reported occurrence of this
65 anovulatory condition in different population of mares (Ginther and Pierson 1984 and
66 1989; Townson and Ginther 1988; Carnevale *et al.* 1989; Ginther 1992).

67 The fate of the haemorrhagic follicles will further classify them into luteinized or non-
68 luteinized unruptured follicles depending on the degree of luteinization of granulosa
69 cells and ability of secreting progesterone as evidenced by macroscopic and hormonal
70 studies (McCue and Squires 2002). The latter study named them as "persistent
71 anovulatory follicles" and found that approximately 90 % of them developed luteal
72 tissue. Assessment of echodensity and thickness of granulosa layer of anovulatory
73 follicles viewed on ultrasound can be used to differentiate presence of luteal tissue. In
74 fact, human studies based on ultrasound and histology evidence have reported the
75 development and ultrasonographic appearance of luteinized follicles in a similar way to

76 | that described in mares (Coulam *et al.* 1982; Toda 1990). This condition in women
77 | was termed luteinized unruptured follicle (LUF) syndrome and resembles that seen in
78 | mares.

79 | Most recently controlled studies have shown in detail hormonal profiles and Doppler
80 | ultrasonographic characteristics of the development of haemorrhagic anovulatory
81 | follicles in the mares (Ginther *et al.* 2006; Ginther *et al.* 2007). The later studies found
82 | only subtle differences in follicular wall vascularity between ovulatory and
83 | haemorrhagic follicles during the 3 days prior to ovulation / beginning of haemorrhage.
84 | Hormonal profiles on LH, FSH and progesterone did not however reveal any significant
85 | difference.

86 | The main relevance of this condition lies in the failure of collapse of the dominant
87 | follicle with consequently no release of the oocyte and therefore impossibility of
88 | fertilization and pregnancy unless it is accompanied by a normal ovulation of another
89 | follicle. However, due to the low incidence of haemorrhagic anovulatory follicles
90 | (HAFs) reported as low as 5-8 % (Ginther and Pierson 1989; McCue and Squires 2002)
91 | the overall impact on fertility is low. Nevertheless it can be frustrating for the
92 | practitioner when dealing with pre-ovulation breeding (natural mating and AI with
93 | chilled semen) when the mare is bred on the basis of a normal dominant follicle which
94 | then fills with blood and luteinize without rupturing, leaving that cycle with no chance
95 | of conception. The relevance of this condition on fertility can increase dramatically in
96 | individuals that tend to have reoccurrence of HAFs in subsequent cycles (Ginther *et al.*
97 | 2006).

98 | The mechanisms of development of HAFs in the mare remain unclear. It has been
99 | proposed that the incidence is higher during the autumn months and in mares aged > 20
100 | years (Ginther *et al.* 2007).

101 To better understand this phenomenon in the equine species, this study focuses on risk
102 factors affecting the development of haemorrhagic anovulatory follicles. In order to do
103 so, detailed reproductive ultrasonographic records of 7 individual mares' breeding lives
104 (> 10 years) were analysed retrospectively.

105

106 **Materials and methods**

107

108 *Animals*

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110 Clinical records from 7 mares (aged from 12 to 26 years) were analysed for > 10 years
111 (range 10 to 18 years) from 1990 to 2007 (a total of 612 oestrous cycles; Table 1).
112 These mares were at least followed at 24 h intervals during the peri-ovulatory period but
113 often 3 times a day. The mares were either resident at a veterinary clinic (used as donor
114 and recipient mares for embryo transfer programme or other reproductive procedures).
115 The mares were bred in the clinic and were mostly Irish Draft (mare details are shown
116 in Table 1).

117

118 *Records*

119

120 Records were obtained from transrectal ultrasonographic examinations performed at
121 least once daily during oestrus and up to three times daily as ovulation approached. The
122 ultrasound equipment changed over the years, but was equipped always with a linear
123 probe of 7.5 MHz. All observations were taken by the same operator. The use of
124 hormonal treatments to induce oestrus and ovulation (Cloprostenol and/or hCG) was
125 recorded in every case. The end points recorded were:

126 Ovulation: detected as per rectal palpation and ultrasonography by absence of the
127 previously recorded follicle and presence of a hypoechoic area within the same ovary [as](#)
128 [described by Newcombe \(1996\)](#). Confirmation of ovulation was by the later presence of
129 an echoic CL. The date of ovulation was recorded as the day in which it was first
130 detected. An ovulation could be classified in three categories:

131 - Spontaneous: when no hormonal treatment had been given since the previous
132 ovulation.

133 - PG-induced: when oestrous signs and ovulation followed the administration of
134 ~~Cloprostenol~~ (a PGF analogue) [Cloprostenol during dioestrus](#) (Estrumate®,
135 Schering-Plough Animal Health Ltd, Welwyn Garden City, AL7 1TW, UK;) ~~(dose~~
136 ~~ranged from~~ 25 µg to 1 mg ~~of Cloprostenol given~~ subcutaneously). This hormonal
137 treatment was used to lyse CL and/or luteinized follicles in order to induce oestrus.
138 The variation in dose was due to parallel clinical trials involving different
139 Cloprostenol doses. An ovulation was classified as PG-induced if the administration
140 of Cloprostenol was followed by clinical signs of luteolysis (endometrial oedema,
141 oestrus behaviour and/or cervix relaxation). The interval from Cloprostenol
142 administration to ovulation could be short but was always < 11 days.

143 Haemorrhagic anovulatory follicle (HAF): detected by transrectal ultrasonography as
144 described in Ginther (1992). In brief, the previously fluid-filled follicle of anechoic
145 echotexture fills with echogenic specks which float freely in the follicular fluid ([Figure](#)
146 [1a](#)) and swirl if balloted, and without follicular collapse the granulosa layer becomes
147 increasingly echodense and deeper. ([Fig 1d](#)). The number and echodensity of the
148 intrafollicular specks increase but still have a mobile/swirling appearance. The follicle
149 diameter increases and eventually the contents acquire a static organised appearance
150 ([Fig 1a-f](#)). Luteinization of the follicle was assumed when follicular wall became

151 highly echoic and ‘thickened’, the uterus acquired a dioestrus-like echotexture, on
152 manual examination *per vaginam*, the cervix contracted and increased in tone as
153 following normal ovulation. Additionally the mares then experienced what appeared to
154 be a normal dioestrus period and in most instances did not return to oestrus for at least
155 two weeks unless this dioestrus was forshortened by prostaglandin administration.

156 When a luteinized follicle was concurrent with a normal ovulation and development of a
157 CL, then a clinical diagnosis of luteinization was dependent on the progressive
158 ultrasonic changes in the follicle as described previously. Follicles that haemorrhaged
159 but showed no clinical or ultrasonic signs of luteinization were excluded from the study.
160 These types of follicles followed the same course and appearance of follicles that
161 subsequently luteinized but failed to develop a hyperechoic “thickened” neither
162 follicular wall nor an organised cavity. In the absence of a concurrent normal ovulation,
163 the mare remained in a clinical non-luteal phase.

164 As with ovulating follicles, HAFs were classified as occurring either spontaneously, or
165 induced after administration of Cloprostenol (as above). Intervals from induction
166 treatment to HAF were recorded (the interval from Cloprostenol to HAF development
167 was less than 12 days in all cases). Some HAF occurred during the same cycle of a
168 normal ovulation. For data analysis, the date of HAF was estimated on the day the
169 follicle filled with echodense specks (day of expected ovulation: day 0 as in Figure 1a).

170 Endometrial oedema: degree of endometrial folding was subjectively assessed by
171 transrectal ultrasonography. Increasing scores of 0.5 were given to the uterus from 0 (no
172 endometrial folding coincident with dioestrus-like echotexture) to 3 (maximum
173 endometrial folding).

174 Follicular diameter: Largest follicular diameter at the time of Cloprostenol
175 administration and immediate preovulatory follicular diameters were measured and
176 recorded as shown previously (Cuervo-Arango and Newcombe 2008).

177

178 *Study design*

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180 For data analysis the experimental unit used was the “cycle” which could be either
181 “ovulatory” (when no HAF developed during one inter-ovulatory period) or
182 “haemorrhagic” (when single or multiple HAFs whether accompanied by ovulation(s)
183 or on its own developed and luteinized during an inter-ovulatory period).

184 ~~SData were analysed for~~ statistical analysis of the data was performed by using a mixed
185 model, with three fixed factors:

- 186 - Induction treatment (spontaneous or induced with Cloprostenol)
- 187 - Time of season (winter: December to -March; early: April to -July; or late in
188 the ovulatory season: August to -November).
- 189 - Age (young: 2-8; middle age: 9-14 and old: > 15 years old)

190 And corresponding two-way/three-way interactions using the procedure MIXED of
191 SAS® (SAS System, Release 8.2, SAS Institute Inc., Cary, NC, 1999). In addition,
192 because of the great range in Cloprostenol doses used for induction treatment, the mean
193 Cloprostenol dose and largest follicular diameter at the time of treatment for both HAF
194 and non-HAF induced cycles were tested for difference by Mann-Whitney non-
195 parametric test and 2-sample t-test respectively.

196

197 **Results**

198

199 Only one out of the 7 mares studied over 10 years had no recorded HAF. Four mares
200 had between 2.8 and 7.5 % of HAF incidence and the remaining 2 had an incidence as
201 high as 24.7 and 25.3 % (Table 1). The recurrence rate after the first HAF cycle in the
202 same year was 0 % (mean age of first HAF cycle 6.44 ± 1.3 years). However the
203 recurrence rate of HAF at some oestrous cycle during the lifetime was 100 % in all
204 mares. Due to the low number of HAF cycles in mares 3 to 6, the analysis of risk factors
205 for HAF development was performed only in data from mares 1 and 2.

206 The two mares with highest incidence (mares 1 and 2) were more likely to have HAF
207 cycles after induction with Cloprostenol ($P < 0.000$) than in spontaneous cycles (Table
208 1). There was a significant effect of Cloprostenol dose on HAF incidence ($P = 0.004$):
209 the Cloprostenol dose used in non-HAF cycles averaged 254.5 ± 21.5 μg compared with
210 375 ± 35 μg in HAF cycles (Fig 2). The mean largest follicular diameter at the time of
211 Cloprostenol (PG) administration in HAF cycles (15.6 ± 1.4 mm) was non-significantly
212 smaller than in non-HAF cycles (18.8 ± 1.9 mm) ($P > 0.05$). Interval from PG
213 administration to ovulation / beginning of HAF was not different (7.1 ± 0.24 and $7.7 \pm$
214 0.26 days respectively). Effect of induction with hCG on HAF was not estimated due to
215 the low number of cycles induced with this hormone (4 % of all oestrous cycles).

216 There was an effect of time within year ($P = 0.004$): higher during early (April to July)
217 and late in the ovulatory season (August to November) than in the winter months, with
218 HAF incidences of 31, 28.1 and 11.7 % respectively (Fig 3). Incidence of HAF amongst
219 different age groups was not different ($P > 0.05$): 23.5, 25.7 and 26.1 % for the young,
220 middle age and old periods respectively (Fig 4). However Mares 1 and 2 had only 1
221 HAF cycle (2.4 % incidence) during the first 3 years of their reproductive lives (2 to 4,
222 41 oestrous cycles).

223 The likelihood of having two consecutive HAF cycles was 31.5 %. If the cycle
224 consecutive to HAF was induced with PG, the probability increased to 40 %, whereas if
225 no induction treatment was used, a new HAF cycle developed only in 9 % of the times.

226

227 The uterine oedema patterns of HAF cycles without concurrent ovulations (n = 34 HAF
228 cycles) were compared with those of non-HAF cycles (n = 30 chosen randomly); no
229 significant difference ($P > 0.05$) at any observation time between the two types of cycles
230 was found.

231 Mare 1 and 2 had a total of 80 HAFs of which 40 % had solitary HAF(s) only without
232 concurrent ovulation whereas the remaining 60 % had normal ovulation(s) during the
233 same cycle. Details of different types of HAF cycles are shown in Table 2.

234

235 **Discussion**

236

237 This study intended to investigate the risk factors associated with the development of
238 haemorrhagic anovulatory follicles in the mare. This anovulatory condition is difficult
239 to research, in part due to the low overall incidence in a given population of mares and
240 also because of the little knowledge of its nature. The present study has allowed the
241 possibility to investigate in depth the occurrence of this phenomenon mainly by long-
242 term analysis of the ovarian activity of two mares with abnormally high HAF incidence
243 ($> 24\%$) over all their entire reproductive life.

244

245 Possible mechanisms of ovulatory failure

246

247 The most obvious factor affecting HAF incidence of this study was the use of
248 Cloprostenol to induce the following oestrus. Yet the mechanisms by which
249 administration of prostaglandin during the luteal phase increases the likelihood of
250 developing HAFs remains unclear.

251 It is known that the LH surge initiates a cascade of proteolytic activity (Robker *et al.*
252 2000) driven by enzymes such as matrix metalloproteinases (MMPs) and plasminogen
253 activators (PA) / plasmin which is required in the tissue remodelling accompanying the
254 ovulatory process (Smith *et al.* 2002). The same preovulatory LH surge induces
255 prostaglandin synthesis by equine granulosa cells (Sirois and Dore 1997) which has
256 been proven in numerous species to be essential for ovulation to occur since
257 administrations of prostaglandin inhibitors, given either intra-follicular or systemically,
258 caused luteinization of follicles without previous rupture and oocyte release (Salhab *et*
259 *al.* 2003; Grinwich *et al.* 1972; Armstrong and Grinwich 1972; Killick and Elsein
260 1987). It remains still undefined but it is hypothesized that prostanoids may regulate
261 various MMPs and PA (Li *et al.* 2006).

262 Incidence of a similar condition described in humans (luteinized unruptured follicle
263 syndrome, LUF) is increased in women who have undergone super-ovulation
264 programmes with Clomiphene (a steroid receptor inhibitor which increases circulating
265 concentrations of FSH and LH) (Zhu 1989). On the basis of that link and studies that
266 showed the effect of LH/hCG on metabolism of intrafollicular $\text{PGF}_2\alpha$ and PGE_2
267 (Channing 1973), human researchers have hypothesized that if LH/hCG stimulation of a
268 follicle occurs too early, premature luteinization can result with a consequent increased
269 PGE_2 synthesis, decrease in $\text{PGF}_2\alpha$ synthesis and inhibition of follicular rupture
270 (Coulam *et al.* 1982).

271 Allen (1979) showed that pregnant pony mares under the constant effect of eCG
272 presented ovaries with haemorrhagic follicles which luteinized subsequently. This effect
273 of eCG on the ovaries from day 50 to 100 approximately has also been shown in
274 Thoroughbred and Irish Draught mares (Newcombe, [unpublished personal](#)
275 [communication 2007](#)). The continuous stimulatory effect of eCG LH-like activity on
276 small follicles (Urwin and Allen 1982) could be inducing haemorrhage and luteinization
277 of follicles in a similar way that HAF occurs in cyclic mares.

278 [Due to the low number of HAF cycles in mares 3 to 6, the following analysis of risk](#)
279 [factors for HAF development was performed only in data from mares 1 and 2.](#)

280

281 Risk factors

282

283 *Multiple ovulators*

284

285 It is worth noting that the mares with highest HAF incidence (mares 1 and 2) were also
286 the ones with highest multiple ovulation rate (Table 1). These mares often had triple
287 ovulations and when a follicle(s) haemorrhaged, it was accompanied in the majority of
288 cases by one or two ovulations (Table 2). This increase in number of follicles passed the
289 point of follicular deviation in HAF cycles was significantly higher than in mares with
290 lower HAF rate (Table 2). Perhaps these mares had an intrinsic higher gonadotrophin
291 circulating concentration in early stages of follicular development. Hormonal studies
292 during the early stages of follicular development remain to be done to elucidate this
293 enigma.

294

295 *Month*

296

297 There is the belief that HAF cycles tend to occur during the autumn (Burkhardt 1948;
298 Ginther *et al.*, 2006) and have on that basis been termed “autumn follicles” (Knudsen
299 and Weiert 1961). Although the highest incidence of HAF cycles occurred during the
300 month of November, the low number of cycles in that month may have precluded it
301 from being statistically significant. It also could be argued that the higher HAF
302 incidence experienced at the end of the season might be due to the fact that
303 reproductively “normal mares” become pregnant early in the season whereas the
304 problematic mares are left to the end. Apart from that peak during November, it was
305 observed that the majority of HAF cycles concentrated around the months of maximal
306 follicular activity (May to August) coincident with the highest circulating LH monthly
307 mean values (Turner *et al.* 1979).

308

309 *Age*

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311 There was no significant effect of age on HAF incidence. It appeared to be though a
312 protective effect against HAF development during the first few youngest years of age
313 (only one HAF in mares 1 and 2 over the 41 oestrous cycles during the age period 2 to
314 4; Fig 4). Research on effect of aging on follicular dynamics and gonadotrophin
315 concentrations tell us that middle age mares (15 [to-19 years](#)) have higher circulating
316 FSH than young mares (5 [to -7 years](#)) while LH remains similar. In older mares (> 20
317 years old) both FSH and LH are raised (Carnevale *et al.* 1993). Interestingly, the only
318 mare older than 20 years (mare 3) in the present study had her first HAF cycle recorded
319 at 19 years old. In the following 7 years, aged 20 to 26, 26.1 % of her cycles (n = 21)

320 developed HAFs spontaneously (without use of induction treatments). Not surprisingly
321 this mare had the highest spontaneous HAF rate of all the mares studied.

322

323 *Induction treatments*

324

325 The present results suggest strong evidence of a link between HAF and use of induction
326 treatments (PGF analogue, especially when high doses are used > 300 µg) which have
327 been proven to induce both a direct release of LH in the horse (Jöchle *et al.* 1987) and
328 indirect increase in LH as the negative feed back of progesterone is released after PGF-
329 induced luteolysis. On the basis of the latter study it could be hypothesized that the
330 increased LH concentration following induction with PGF analogue could interfere with
331 intra-follicular metabolism of prostanoids and proteolytic enzymes in an immature
332 follicle. It would however have to be a slow process as the mean interval from
333 Cloprostenol administration to HAF development was 7.7 ± 0.26 days. However it
334 seems that this effect is rather synergistic in mares that are somehow predisposed to
335 develop HAFs. Preliminary results of hormonal controlled studies have also shown a
336 link between HAF and use of prostaglandin in the mare (Ginther *et al.* 2008) which
337 supports this theory.

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341 Clinical relevance and conclusions

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343 There is little a veterinarian can do after detecting a follicle which develops
344 haemorrhage and subsequent luteinization (especially in mares that have been already

345 mated) but make arrangements for the next cycle to breed again. It is important to bear
346 in mind that some follicles before undergoing follicular collapse during the ovulatory
347 process can look exactly like a follicle about to haemorrhage (Fig 1a-b), however unlike
348 HAF, the rupturing follicle will collapse completely giving the appearance of a
349 hypoechoic area for at least 12 to 15 h, after which they may fill quickly with blood to
350 resemble a HAF in early stages. It is therefore important for this purpose to examine
351 mares at least twice daily to avoid mistaking a normal ovulation/corpus
352 haemorrhagicum for a HAF.

353 Assuming the fact that the mare cannot conceive following haemorrhage into the
354 follicle, most practitioners would try to induce the following oestrus with prostaglandin.
355 On the basis of our results and especially if the mare is known to have high percentage
356 of HAFs cycles, the next cycle preferably should not be induced. In the case of
357 necessity of using induction treatment, it is recommended to administrate the lowest
358 luteolytic dose of PGF (e.g. 25 to 125 μ g Cloprostenol / 0.1 to 0.5 ml Estrumate) to
359 minimize likelihood of recurrence. It is worth highlighting that mares 1 and 2 were
360 more than 4 times more likely to develop consecutively a new HAF after Cloprostenol
361 induction than in spontaneous cycles. These two mares had a fairly good fertility in non-
362 HAF cycles (and HAF cycles with concurrent normal ovulations), therefore it is still
363 possible to get this type of mares pregnant as long as they have a ovulation from a
364 ruptured follicle.

365 In conclusion this study has provided some useful data for the practitioner in
366 understanding this anovulatory condition in the mare and new insights for prospective
367 researchers involving hormonal studies to elucidate the proposed effect of $\text{PGF}_2\alpha$ on the
368 pathogenesis of HAF development.

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